



Supplemental Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number AVX-187-DIV	Serial Number 10/647,939
	Applicant John L. Galvagni, et al.	
	Filing Date: 08/26/2003 Confirmation No.: Not yet assigned.	Parent Group Art Unit 2831

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
 USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
 USSN \_\_\_\_\_, filed \_\_\_\_\_;  
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS												
EXAMINER INITIALS		PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE	
		Naito et al.	6	6	0	6	2	3	7 B1	08/12/2003	(5)	

FOREIGN PATENT DOCUMENTS																
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE		TRANSLATION			COPY NOTE
													YES	NO	N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	
EXAMINER	DATE CONSIDERED	
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	



DM-10/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In re Application of: John Galvagni, et al.  
Serial No: 10/647,939  
Filed: August 26, 2003  
Confirmation No: Not yet assigned.  
Title: Cascade Capacitor

Attorney Docket No: AVX-187-DIV  
Date: November 4, 2003  
Parent Group Art Unit: 2831  
Our Customer ID: 22827  
Our Account No: 04-1403

Commissioner for Patents  
U.S. Patent and Trademark Office  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
1 item(s)

- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, whichever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.

- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

i. ☐ Certification per Rule 97(e); OR

ii. ☒ Filing Fee per Rule 17(p) .....\$180.00

- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:

i. ☐ Certification per Rule 97(e); AND

ii. ☐ Filing fee per Rule 17(p) .....\$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR

- b. ☐ That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Address: \_\_\_\_\_

Date: \_\_\_\_\_

- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

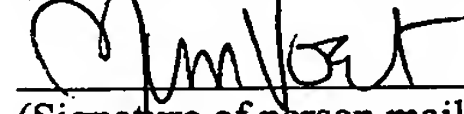
- 5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

- a.[x] First Class Mail Certificate of Mailing under Rule 8:

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, Post Office Box 1450, Alexandria, VA 22313-1450, on November 4, 2003.

Mim Voet

(Typed/printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

- b.[ ] "Express Mail" Certificate under Rule 10:

"Express Mail" – Label No. \_\_\_\_\_

Date of Deposit \_\_\_\_\_

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patent, U.S. Patent and Trademark Office, Post Office Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
(Typed/printed name of person mailing paper or fee)

\_\_\_\_\_  
(Signature of person mailing paper or fee)

ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Telephone: 864-271-1592  
Facsimile: 864-233-7342

DORITY & MANNING, P.A.

By: Richard M. Moose, Esquire

Reg. No: 31-226

Signature: 

Date: November 4, 2003



ATTORNEY DOCKET NO.: AVX-187

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: John Galvagni, et al.	)	Examiner: Not yet assigned.
	)	
Serial No.: 10/647,939	)	Parent Group Art Unit: 2831
	)	
Filed: August 26, 2003	)	Our Customer ID: 22827
	)	
Confirmation No.: Not yet assigned.	)	Our Account No. 04-1403
	)	
For: Cascade Capacitor	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
U.S. Patent and Trademark Office  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

The attached Supplemental Information Disclosure Statement is submitted for the Examiner's consideration in accordance with the Applicants' duty of disclosure.

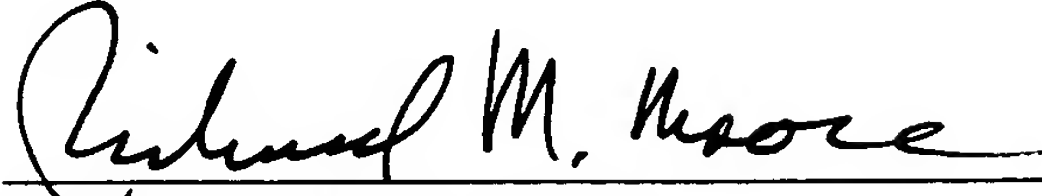
Although the document provided in this Supplemental Information Disclosure Statement are submitted for the Examiner's convenience, Applicants do not admit of its relevance as prior art to the present application under 35 U.S.C. §102 and §103 nor of its pertinence in other respects.

The Examiner is encouraged to contact the undersigned at his/her convenience should he/she have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING,  
ATTORNEYS AT LAW, P.A.

Date: November 4, 2003

A handwritten signature in dark ink, appearing to read "Richard M. Moose", written over a horizontal line.

Richard M. Moose, Esquire  
Reg. No.: 31,226

Post Office Box 1449  
Greenville, SC 29602-1449  
Telephone: (864) 271-1592  
Facsimile: (864) 233-7342